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PTO/SB/21 (modified)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	TRANSMITTAL FORM		
		(to be used for all correspondence during pendency of filed application)		
		Application Number	09/782,681	
		Filing Date	February 12, 2001	
		First Named Inventor	Walter Lee et al.	
		Confirmation No.	8993	
Group Art Unit Number	2161			
Examiner Name	Not yet known			
Total Number of Pages in This Submission		4**	Attorney Docket Number	13553-05050

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ENCLOSURES (check all that apply)

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REMARKS: **IDS references are not included in total pages submitted.

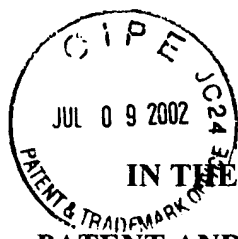
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Technology Center 2100

APPLICANT(S): Walter Lee et al.

APPLICATION NO.: 09/782,681

FILING DATE: February 12, 2001

TITLE: Identification and Management of Fraudulent Credit/Debit Card
Purchases at Merchant Ecommerce Sites

EXAMINER: Not yet known

GROUP ART UNIT: 2161

ATTY. DKT. NO.: 13553-05050

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed before the mailing date of a first office action on the merits.

Applicant submits that no fee is required for the consideration of the accompanying Supplemental Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
WALTER W. LEE, JOSEPH P. MILANA,
WESLEY K. WILHELM AND MIN SHAO

Dated: 2 July 2002

By: 

Daniel R. Brownstone, Reg. No.: 46,581
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (415) 875-2358
Fax.: (415) 281-1350

13553/05050/SF/5078017.1



Sheet 1 of 1

FORM PTO-1449
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAttorney's Docket No.
13553-05050Application No.
09/782,681

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant
Walter Lee, et al.Filing Date
February 12, 2001Group Art Unit
2161

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A1	6,070,141	5/30/00	Houvener et al.	705	1	
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
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	A12						

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FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	B1							
	B2							
	B3							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

C1	Demby, E. <i>Fighting Fraud in Cyberspace Collections and Credit Risk</i> , April 2000, Vol 5, No. 4 pp 67,72.
C2	Murphy, P. <i>Fighting Internet Card Fraud, Credit Card Management</i> , July 2000, Vol 13, No. 4, pp18-26.
C3	<i>Vendors Cast Their Neural Nets to Catch Fraud in Online Sales</i> ; Debt Card News, Vol. 4, No. 23, May, 1999.
C4	International Search Report for PCT/US01/30193
C5	
C6	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.